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Sub	stitute for form 1449/PTO			Complete if Known		
				Application Number	10/084,380-Conf. #3496	
IN	IFORMATION	l Di	SCLOSURE	Filing Date	February 28, 2002	
S	TATEMENT E	3Y /	APPLICANT	First Named Inventor	Daniel G. Chain	
				Art Unit	1649	
	(Use as many she	eets as	s necessary)	Examiner Name	G. S. Emch	
Sheet	1	of	2	Attorney Docket Number	20555/1203301-US3	

U.S. PATENT DOCUMENTS							
Examiner	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Initials*		Number-Kind Code ^{2 (if known)}					
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FOREIGN PATENT DOCUMENTS								
Evenion	Cito	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear			
Examiner Initials*	Cite No. ¹	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY			T ⁶		
	ВА	EP-2006303	12-24-2008	Intellect Neurosciences Inc.				
	вв	WO-03/074081	09-12-2003	Mindset BioPharmaceuticals USA, Inc.				
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Examiner	Date	U ₁
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08b (04-09)
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Sub	stitute for form 1449/PTC)		Complete if Known		
Gub	Stitute for form 1445/1 Te			Application Number	10/084,380-Conf. #3496	
IN	IFORMATIO	N DIS	SCLOSURE	Filing Date	February 28, 2002	
S	TATEMENT	BY A	PPLICANT	First Named Inventor	Daniel G. Chain	
				Art Unit	1649	
	(Use as many s	sheets as	necessary)	Examiner Name	G. S. Emch	
Sheet	2	of	2	Attorney Docket Number	20555/1203301-US3	

Examiner nitials	Cite No. 1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	C1	Notice of Opposition to European Patent No. 0994728, filed 30 April 2009 (15 pages)			
	C2	Opponent's Translation of Petition submitted 16 September 2005 on behalf of Intellect Neurosciences during appeal proceedings of Japanese application 10-543043 (17 pages)			

Examiner	/Cragary Emple	Date	05/20/2009
Signature	/Gregory Emch/	Considered	00/20/2000

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